

# Providence Housing Authority FY 2015 FIVE YEAR & ANNUAL PLAN



<b>PHA 5-Year and Annual Plan</b>	<b>U.S. Department of Housing and Urban Development Office of Public and Indian Housing</b>	<b>OMB No. 2577-0226 Expires 4/30/2011</b>
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<b>1.0</b>	<b>PHA Information</b> PHA Name: <u>PROVIDENCE HOUSING AUTHORITY</u> PHA Code: <u>RI 1001</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input checked="" type="checkbox"/> Standard <input type="checkbox"/> HCV (Section 8) PHA Fiscal Year Beginning: (MM/YYYY): <u>7/2015</u>														
<b>2.0</b>	<b>Inventory</b> (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: <u>2,604</u> Number of HCV units: <u>2,612</u>														
<b>3.0</b>	<b>Submission Type</b> <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only														
<b>4.0</b>	<b>PHA Consortia</b> <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)														
	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	<table border="1"> <thead> <tr> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> </tr> </tbody> </table>	No. of Units in Each Program		PH	HCV	PHA 1:		PHA 2:		PHA 3:	
No. of Units in Each Program															
PH	HCV														
PHA 1:															
PHA 2:															
PHA 3:															
<b>5.0</b>	<b>5-Year Plan.</b> Complete items 5.1 and 5.2 only at 5-Year Plan update.														
<b>5.1</b>	<b>Mission.</b> State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years:  The Providence Housing Authority (PHA) exists to develop and maintain decent, safe and sanitary housing and to address the economic and social needs of residents. The Providence Housing Authority is committed to high standards of public accountability and continuous improvement through management excellence, professional development and customer satisfaction.														

5.2

**Goals and Objectives.** Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

**In the next 5 years, the PHA commits to the following organizational goals:**

1. Continue to operate and adhere with federal, state and local mandates as well as formal regulations associated with public housing authorities.
2. Continue to (1) research and explore modern, affordable housing strategies and programs, (2) generate opportunities with public, non-profit and private partnerships that result in the creation of affordable housing and (3) seek membership in appropriate organizations advocating for affordable housing. (Choice, comprehensive neighborhood revitalization initiatives, the Rental Assistance Demonstration).
3. Continue to create, maintain and nourish sustainable partnerships with community stakeholders in order to increase opportunities that positively impact PHA residents, properties and employees.
4. Continue to provide quality service to meet stakeholder expectations through conducting a comprehensive service satisfaction survey, updating operational goals and monitoring performance management indicators.
5. Continue to cultivate Resident Advisory Board and Resident Association relations and communication to maintain transparency and provide a forum for valuable resident participation in planning activities guided by PHA goals and objectives.
6. Continue to improve the appearance of PHA properties, reduce work order and unit turn-around time, energy consumption and costs as well as conduct authority wide inspections and proper procurement processes.
7. Continue to maintain public housing occupancy rates above 97%, stream line the application process and improve tenant collections.
8. Continue to maintain Section 8 leasing rates (at least 97%), monitor the de-concentration policy and rent reasonableness standards, develop innovative methods to re-open the waiting list, maximize administrative fees, expand the VASH voucher program and refer participants to the Family Self-Sufficiency and Homeownership Programs.
9. Continue to address the education, economic, social services and healthcare needs of youth, adults, the elderly and disabled populations through direct service, referrals and creative partnerships. The PHA will increase homeownership rates (PH, S8) and explore the Job Plus Pilot Program and opportunities for new digital literacy and youth programming.
10. Continue to provide security and safety to residents by advocating for more housing unit officers, nourishing community relations, maintaining partnerships with federal, state and local law enforcement agencies, tracking and monitoring crime trends and seeking funding opportunities to support and enhance safety initiatives and methods.
11. Continue to ensure adherence of legal risk management, safety and insurance best practices as well as maintain the PHA Safety Committee to promote policies to make the PHA a safe and healthy place to live and work.
12. Continue to maintain and improve finance procedures to assure regulatory compliance, refine reporting systems (based on the most recent audit review), advance department cross training and improve the newly acquired payroll system.
13. Continue to maintain, reorganize and improve the general infrastructure of the organization and ensure compliance with Section 3 requirements.
14. Continue to ensure (1) that the PHA computer hardware, software and network are updated and upgraded to maximize operational efficiency, (2) a secure digital environment and (3) provide support and technological tools to employees and residents of the PHA.

**PHA Plan Updates**

(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:

**2010 Choice Neighborhoods Planning Grant:**

The PHA was awarded a 2010 Choice Neighborhoods Planning Grant for the Manton Heights public housing site and the Olneyville neighborhood. Working with Manton Heights residents, key stakeholders and the broader community, the PHA completed and submitted, to HUD, on June 27, 2014, the final Transformation Plan (called the "Build Olneyville Plan").

**Violence Against Women Act (VAWA) Policy Updates:**

In October of 2014, the PHA coordinated a domestic violence awareness oriented field trip. On the trip, RAB members viewed a play on domestic violence titled Behind Closed Doors. The play is sponsored by the Sisters Overcoming Abusive Relationships (SOAR) agency and RICADV.

In FY 2014, the Resident Associations and Planning Committees supported and assisted with promoting the Resident Oriented Financial Literacy Training. A total of 87 residents participated in the trainings. Topics included: understanding financial abuse, safety, credit building and financial literacy.

**2013-2014 Federal Emergency Management Agency's (FEMA's) Fire Safety & Prevention Grant Project:**

By the end of FY 2014, the PHA successfully installed 745 Safe-T Element devices while making innovative electrical retrofits to accommodate the device to every stove. In June 2014, the Safe-T First Project was recognized nationally by the Housing Authority Insurance Group and was awarded the *2014 Best Practices Housing Authority Risk Retention Innovation (HARRI) Award* for its groundbreaking and successful implementation, promotion, and outreach of the project among such a vulnerable population. Prior to the FY2014 Safe-T First Project expansion, there was an average of 33 stovetop fires each year in the elderly/disabled high-rises. Since the expansion of the project, the average number of stovetop fire incidents reduced to an average of 3.5 stovetop fires per year, a *106% decrease*.

6.0

In order to continue to augment the importance of fire safety and prevention among the community, in 2014 the PHA applied for the additional funding from FEMA with the purpose of expanding the Safe-T First project in a comprehensive approach to the remaining handicapped units as well as creating a documentary about the PHA's experience with this successful initiative.

**Protect Providence with One Click—Seatbelt Safety Education & Awareness Grant:**

In FY 2014, Through the Rhode Island Department of Transportation's (RIDOT) *Primary Seatbelt Usage: Education and Statewide Public Outreach Grant*, the PHA developed and implemented the Protect Providence with One Click (PPOC) Campaign which aimed to encourage behavioral change regarding seatbelt usage, safety and compliance with RI's Primary Seat Belt Law among the PHA and Providence's multicultural communities, in order to increase and encourage seatbelt usage and compliance of the current seatbelt law thereby reducing Rhode Island's vehicular injuries and fatalities over a one year period.

The largest outreach strategy for the PPOC project was the *Protect Providence with One Click Summer Celebration Events*, which took place in four family developments during July and August of 2014. The coordinated celebration events were a collaborative effort among all grant partners such as AAA, Mothers Against Drunk Driving, the City of Providence, RI Department of Transportation, the Brain Injury Association, Providence Police Department, the Providence Fire Department Canteen and RI State Police. The events offered residents the opportunity to witness a roll-over demonstration, interact with professionals from the partnering organizations, participate in raffles, and enjoy a hot dog roast, face-painting and lemonade.

**Smoke Free Initiatives:**

- 1.The PHA was invited to present at the annual National Alliance of Resident Services in Affordable and Assisted Housing's (NAR-SAAH's) Resident Services and Resident Leaders conference. Alongside a nationally recognized panel of tobacco awareness advocates to include the American Legend Foundation, the Smoke-Free Documentary was presented.
- 2.A marked increase in calls from outside agencies requesting information regarding Smoke-Free Policy implementation was experienced. These calls often led to requests to participate in panels, conferences and webinars. In August 2014, the Special Projects Office was invited to participate in a telephone panel discussion with New York City's (NYC's) Housing Authority and the NYC's Department of Health. Additionally, the Virginia, Texas, Ohio and Florida housing authorities requested consultation for implementing a Smoke-Free Policy.

3. The PHA received a request from Martin Nee (Division Director of HUD's Healthy Homes Office at the time) to participate in a national, HUD webinar to promote healthier housing through policy change. The PHA's contribution in the interactive webinar focused on effective resident engagement and outreach strategies.
4. The PHA also received a request from the Housing Authority Insurance Group (HAIG) to participate in a "Hoarding and Smoke Free Policy Training" video through the HTVN internet training portal. HAIG recorded a PHA presentation and interview regarding all aspects of smoke-free policy implementation and benefits to promote healthier and safer housing to multi-family property owners.

**Community Development Block Grant (CDBG):**

The City of Providence continued to significantly reduce the overall number of CDBG awards made in FY 2014. The PHA's Thomas J. Anton Community Center at Hartford Park remained in the pool of grantees, however, receiving an award of \$42,343 in CDBG Public Service funds to help support the cost of our full time adult Education and Training Coordinator (ETC).

**Rhode Island Department of Education (RIDE) Adult Education:**

RIDE renewed the PHA's Adult Education and EL CIVICs grants for a total of \$155,792 to support our full time adult education instructors for FY 2014.

**Social Innovation Fund & Local Initiative Support Corporation:**

Grants totaling \$147,975 to continue operation of our **Family Success Center (FSC)** were awarded during FY 2014. Funds support a percentage of the full time Financial Coach, the full time Employment Support Specialist, a full time Adult Education Program Specialist and a part time E/T Program Assistant.

**Citizens Bank "Growing Communities Initiative":**

- 6.0 The Citizens Bank Foundation awarded the PHA a \$5,000 grant as part of its Growing Community Initiative. Funds were used to support computer education for residents at Manton Heights and others living in the Olneyville neighborhood.

**Payroll System:**

The PHA began utilizing Paychex, Inc. to outsource its payroll services. This decision was made to accommodate the growing needs and demands to stay in compliance with regulatory agencies.

**PHA Website Re-Design:**

The PHA selected Riveredge Arts Project to complete the redesign of the PHA's current website in order to better serve stakeholders. The re-design should be complete by mid-FY 2015.

**Sprinkler Plan Update:**

In order to comply with the RI State Fire Code, which requires automated sprinkler systems in high-rise buildings, the PHA has begun planning to add sprinklers in all 5 elderly/disabled high-rises. The PHA is researching different options for the financing of this project. Preliminary estimates state the sprinkler system additions will cost approximately 5.7M.

**Parking System:**

The PHA developed an electronic application system, or "app", to track vehicles for all residents and employees. This application utilizes both cell phone and web based technologies. This program generates a parking sticker for each approved tenant vehicle. The tenants' data, upon a scan, will display the owners' information and status for this vehicle. This system allows security personnel to monitor and remove unregistered vehicles, therefore keeping "outsiders" off of PHA properties.

**Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers.** *Include statements related to these programs as applicable.*

**DISPOSITION**

A disposition application was submitted to and approved by HUD in 2007 for a portion of the Authority’s land located behind the elderly high-rise development known as Dominica Manor (AMP 6) in order to permit a neighboring land owner, Talon Realty, LP, to construct shared parking facilities. In January 2012, the PHA signed an option agreement with Talon Realty, LP that granted it the right to right obtain title to designated portion of land with the understanding and conditions that it would pay a fee to the PHA, commence construction within two years of the transfer and provide 50 spaces of reserved parking for Dominica Manor residents upon completion. As of this date, the Developer did not exercise its option rights and the agreement has expired.

**DEMOLITION**

The PHA was awarded a 2010 Choice Neighborhoods Planning Grant for the Manton Heights public housing site and the Olneyville neighborhood. Working with Manton Heights residents, key stakeholders and the broader community, the PHA completed and submitted, to HUD, on June 27, 2014, the final Transformation Plan (called the “Build Olneyville Plan”). The PHA and principal partners are interested in applying for Choice Neighborhood Initiative Implementation funds in the future.

**HOMEOWNERSHIP PROGRAMS**

**SECTION 8**

Effective July 1, 2006, residents who plan to use their Housing Choice Voucher toward purchasing a home are required to participate in PHA’s Family Self Sufficiency (FSS) program in order to be eligible. Elderly and disabled heads-of-household are exempt from this requirement, but are welcome to participate in the FSS program if they are employed or have an employment goal.

**Housing Counseling:** As a HUD-certified Local Housing Counseling Agency, the PHA received \$12,964 in FY2014 for the HUD Housing Counseling program grant funds. The PHA utilizes the Counselor Max and First American Cred Co systems system to provide pre-purchase one-on-one personalized prequalification, lending, credit, budget and ownership counseling.

7.0

**Housing Counseling Program Indicators**

Indicator	FY 2014 Total
Received One-on-one Counseling	88
Completed Financial Fitness class	21
Completed Homebuyer Education	15
Credit score improved	14
Created household budget	25
Purchased a home (without Section 8)	1

**Section 8 Homeownership Program Indicators**

Indicator	FY 2014 Total
Enrolled	9
Attended monthly orientation	46
Certification (HAP) letters sent	11
Closed on a home with Section 8	7

In FY 2014, the Homeownership Coordinator served eighty-eight (88) actively enrolled clients through the Housing Counseling Program. This enrollment figure includes Financial Literacy workshop participants and one-on-one credit counseling clients. In addition to Section 8 homeownership outcomes, the Housing Counseling program tracks the counseling and education outcomes of participants. In FY 2014, twenty-five (25) clients created household budgets, fourteen (14) had an increase in credit score, fifteen (15) completed homebuyer education and one (1) became a first-time homebuyer outside of the Section 8 Homeownership program.

The PHA uses funds from its ROSS Family/Homeownership grant to cover any and all course fees for each screened, eligible resident who is enrolled in the Section 8 Homeownership Program.

In June 2014, the PHA hosted its ninth-annual Homebuyer Information Fair at 40 Laurel Hill Avenue. The goal of this event is to inform the public housing and Section 8 residents of Providence about the latest local resources available to low- and moderate income families who are interested in becoming homeowners. A total of 11 vendors participated – the highest turnout in the history of the event. A total of 41 people from the community attended this event, over a quarter of who were Family Success Center participants. Currently, the PHA has 46 Section 8 homeowners receiving an average Housing Assistance Payment of \$487.

8.0	<p><b>Capital Improvements.</b> Please complete Parts 8.1 through 8.3, as applicable. See HUD Form 50075.2, approved by HUD on November 4, 2014.</p>
8.1	<p><b>Capital Fund Program Annual Statement/Performance and Evaluation Report.</b> As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing.</p>
8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements.</p>

**Housing Needs.** Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.

Based on the latest Consolidated Plan, the following information was compiled using the 2011-2013 Consolidated Plan, the PHA's Rental Survey and the U.S. Census Data of 2010. Twenty six percent of the Providence population, or about 44,105 people, lived below the poverty threshold. The poverty rate is highest for Providence's children: over one-third live in poverty or an equivalent of 19,190 children. Poverty rates are lowest for the City's seniors at 21.4%. The majority of Providence residents are White, with 20,347 or 48.45% identifying as such. The next largest racial categories are Some Other Race with 11,015 or 26.23% and Black or African American with 6,085 or 14.49%. Additionally, 15,807 or 37.6% of Providence's population identified themselves as of Hispanic/Latino descent.

The elderly population in Providence consists of 18,155 or 12.4% of residents and approximately, 26,189 or 22% of the Providence population has limited mobility or a work disability. Additionally almost 4,000 adult, Rhode Island residents have a developmental disability. Of the 4,000, an estimated 2,000 residents live with their families.

The PHA exists to provide low-income, very-low income and extremely low-income populations, affordable, safe and decent housing. Using the most recent PHA Resident Characteristics Report (FY2014): The current PUBLIC HOUSING data shows that there are approximately 5,500 residents housed in 2,604 PHA developments. Twenty percent of the total residents are considered elderly and 80% are families. Of the total population, 1,116 or 20% of public housing residents have a disability. There are 1,482 children under the age of 17 years while 69% of the entire population is Hispanic. The average yearly income for the public housing household is \$10,529.

9.0

The current Section 8 data shows that there are approximately 6,400 residents housed in more than 2,600 Section 8 market rent units spread across the City of Providence. Of the total population, 19% have disabilities and 3,505 or 55% are Hispanic. The average Section 8 household is 2.3 persons while the average annual income is \$12,661.

In analyzing family types and the overall breakdown of the Providence population, the Providence Housing Authority (PHA) recognizes the scarcity of affordable housing and once families or individuals are housed, some stay in public housing for longer periods of time. This decreases the number of vacant units, thus increasing the average wait for new applicants. The average wait time for families applying for family developments can be as long as 5 years. Those applying for elderly or disabled housing wait a period of six months to 2 years depending upon the unit size required.

One thousand and forty six (84%) families were identified as extremely low-income on the public housing waiting list, 169 families (14%) are very low-income and 31 families (2%) are low-income. The public housing waiting list contains 156 elderly families (13%), 515 (49%) families with children, 343 (38%) families with disabilities. Approximately, 926 or 74% are White families, 294 or 23% are African-American families, 13 or 1% are Asian families and 19 or 2% are Native American families. There are 859 (69%) Hispanic families (including both White and African-American) and 391 (31%) Non-Hispanic families on the waiting list. Two bedroom units have been identified as the highest demand on the public housing waiting list with 26% of the demand; followed by three bedroom units with 23% and efficiency units with 20% of the demand.

The Section 8 waiting list has 23 families. Ninety-one percent or 21 families on the waitlist are considered extremely low-income, 2 families or 9% are very low-income and no families on the waiting list are low income. Families with children account for 96% (22) of the waitlist, families with disabilities account for 5% (6) of the list and families with elderly members account for 7% (8) of the waiting list. Eighty three percent or 19 families on the Section 8 waiting list are White, 17% or 4 families are African-American and 0% or 0 families are Native American. Seventy four percent identify as Hispanic.



**Strategy for Addressing Housing Needs.** Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. **Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.**

9.1

**The PHA plans to address the housing needs of families in Providence through the following:**

1. Reduce turnover time for vacated housing units.
2. Maintain or increase Section 8 lease up rates by establishing payment standards that will enable families to rent throughout the jurisdiction.
3. Continue to increase VASH voucher program and apply for special purpose vouchers targeted to families with disabilities should they become available.
4. Maintain or increase Section 8 lease up rates by effectively screening Section 8 applicants to increase owners' acceptance of the program.
5. Maintain rent policies that support and encourage work.
6. Sustain access to a comprehensive network of services to ensure opportunities to realize personal, economic and civic potential, through either direct service provision or responsible referral for all residents.

**Additional Information.** Describe the following, as well as any additional information HUD has requested.

(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.

1. Continue to operate and adhere with federal, state and local mandates as well as formal regulations associated with public housing authorities.
2. Continue to (1) research and explore modern, affordable housing strategies and programs, (2) generate opportunities with public, non-profit and private partnerships that result in the creation of affordable housing and (3) seek membership in appropriate organizations advocating for affordable housing.

**Progress:**

- In 2010, the PHA was awarded one of the nation's first Choice Neighborhood Initiatives Planning Grants. During the grant period, the PHA worked collaboratively with key/principals partners to submit the Build Olneyville Plan (BOP), a vision of revitalization of the Olneyville neighborhood to include the Manton Heights public housing site. The BOP can be viewed at [www.choiceolneyville.com](http://www.choiceolneyville.com).
- In 2012, during the Planning grant process, the PHA explored the RAD program specific to Manton Heights to augment the vision for a mixed finance development.
- From 2014 to present, the PHA is working with Olneyville Housing Corporation and Trinity Financial to redevelop the abandoned Imperial Knife building, adjacent to the Manton Heights site.

3. Continue to create, maintain and nourish sustainable partnerships with community stakeholders in order to increase opportunities that positively impact PHA residents, properties and employees.

**Progress:**

- In 2010, in partnership with Brown University and the Institute of Public Health Promotion, a health and nutrition research project began to include a mobile produce truck with locally grown and affordably priced fruits and vegetables and an extensive surveying process aimed at studying the food deserts in Providence's urban setting.
- The partnership with the Rhode Island Coalition Against Domestic Violence was the catalyst for Financial Literacy and Financial Abuse Awareness Training for PHA employees and residents during 2013 and 2014.
- In 2010, the PHA partnered in a city-wide tobacco prevention initiative by implementing a Smoke-Free Policy in 5 high-rises (989 units). In 2011, this policy was expanded into a pilot phase in 3 family developments (535 units). The policy aimed to promote healthier and safer housing, reduce the hazards with residential fires and lower the financial burdens associated with turn around.

4. Continue to provide quality service to meet stakeholder expectations through conducting a comprehensive service satisfaction survey, updating operational goals and monitoring performance management indicators.

**Progress:**

- In 2011, the PHA distributed the Customer Service Satisfaction Survey to partners, employees, landlords, Public Housing, and Section 8 participants. Results are available upon request.
- From 2009-2013, the PHA generated Performance Management Reports quarterly to monitor the progress of the agency's goals and objectives.
- In 2013, the PHA updated the PHA's operational goals and objectives for each department (last developed in 2008) in order to make them more relevant and effective.

5. Continue to cultivate Resident Advisory Board and Resident Association relations and communication to maintain transparency and provide a forum for valuable resident participation in planning activities guided by PHA goals and objectives.

**Progress:**

- The PHA's Resident Advisory Board (RAB) meets 8 times annually to be consistently included in the development and updates of PHA policies, procedures, and programs.
- In 2012, the RAB was awarded Resident Advisory Board of the Year by the National Alliance of Resident Services in Affordable and Assistant Housing (NARSAAH).
- The Special Projects Department is the liaison to the RAB and Resident Associations regarding the availability and purpose of various grants, program opportunities and community events.

10.0

6. Continue to improve the appearance of PHA properties, reduce work order and unit turn-around time, energy consumption and costs as well as conduct authority wide inspections and proper procurement processes.

**Progress:**

- In 2012, the partnership with National Grid allowed the PHA to launch the Retrofit Program at 4 PHA developments or 579 units. This program enabled the PHA to lower energy costs and improved its modern energy saving practices.
  - In 2010, using HUD Stimulus funds, the PHA was able to implement a landscaping project throughout the organization's developments. That same year, through the Capital Finance Fund Program the PHA completed the exterior modernization of the Roger Williams Family development.
  - Since FY 2010 there has been a 10% reduction in work orders, and unit turnovers have been maintained at an average of 337 annually.
7. Continue to maintain public housing occupancy rates above 97%, stream line the application process and improve tenant collections.
8. Continue to maintain Section 8 leasing rates (at least 97%), monitor the de-concentration policy and rent reasonableness standards, develop innovative methods to re-open the waiting list, maximize administrative fees, expand the VASH voucher program and refer participants to the Family Self-Sufficiency and Homeownership Programs.

**Progress:**

- The PHA's occupancy rate has consistently been maintained at an average of 98% since FY 2010.
  - Since FY 2010 the PHA has maintained an average of 1,152 applications to public housing.
  - The PHA's rent collection rate has maintained an average of 95% since FY 2010.
9. Continue to address the education, economic, social services and healthcare needs of youth, adults, the elderly and disabled populations through direct service, referrals and creative partnerships. The PHA will increase homeownership rates (PH, S8) and explore the Job Plus Pilot Program and opportunities for new digital literacy and youth programming.

**Progress:**

- The PHA's Section 8 (Leased Housing) Department has received a high-performing Section Eight Management Assessment Program ( SEMAP) score for the 12<sup>th</sup> year in a row.
  - In 1998, when the PHA's Section 8 Waiting List last opened, 3,345 families were put on the pre-application list. After 16 years, 1,179 applicants remain on the Waiting List. In the past five years the list has decreased from 1,795 (FY2009) to 1,179 (FY2014).
  - The Section 8 Department diligently works to maintain its occupancy rate as well as grow its high program size. In FY2014, the met program standards both by units (95%) and by utilization of funding (102.6%).
  - In FY2014, Section 8 staff processed 2,399 re-certifications for those remaining in the same unit.
  - The PHA's Veterans Administration Supportive Housing (VASH) Program has grown from 45 vouchers in FY2010 to 188 vouchers (33 being project based) in FY2014.
  - The number of Section 8 participants enrolled in the FSS program remains steady--in FY2014 there were 91 participants in FSS and 7 home purchases (totaling 42 Section 8 homeowners) in the Homeownership program.
10. Continue to address the education, economic, social services and healthcare needs of youth, adults, the elderly and disabled populations through direct service, referrals and creative partnerships. The PHA will increase homeownership rates (PH, S8) and explore the Job Plus Pilot Program and opportunities for new digital literacy and youth programming.

**Progress:**

- The PHA's long standing adult basic education program (ESL and GED preparation) offers residents access to full time education classes for the last 7 years. The Rhode Island Department of Education/Adult Education Office, through performance based funding, considers the PHA's program to meet or exceed the outcomes and is considered a high-performer in the state. In the last 5 years, the PHA added computer labs in 5 high-rises.
- FSS Program has enrolled an average of 174 participants each year over the last 5 years. Last year, 67% of enrollees established escrow with the average balance of \$3,423. The FSS often times shares clientele with the Family Success Center, further improving the opportunities for residents' economic independence.
- In 2011, the PHA established its Education & Training Center at the Coddling Court community location to expand the offerings of adult education and job training opportunities as well as the utilization of creative partnerships. Job training in painting, urban landscaping, brownfield remediation, carpentry, culinary arts and computer education.

10.0

10.0	<ul style="list-style-type: none"> <li>• In 2011, the PHA (and partners) established the Family Success Center (FSC) to improve economic independence, financial stability and net worth by bundling three support services under one roof. The FSC has an Employment Support Specialist and Homeownership Coach. The PHA's FSC was named the country's highest performing FSC and since its inception has served an average of 190 clients per year. In FY2014, 43% of clients increased their net income, 28% improved their net worth, and 49% increased their credit score.</li> <li>• In the past 5 years, the PHA has assisted both public housing and Section 8 participants in becoming homeowners. The PHA's has assisted 46 Section 8 participants to successfully purchase homes using their housing voucher payment. For several years now, the PHA conducts annual Homeownership Fairs and monthly homeownership orientations.</li> </ul> <p>10. Continue to provide security and safety to residents by advocating for more housing unit officers, nourishing community relations, maintaining partnerships with federal, state and local law enforcement agencies, tracking and monitoring crime trends and seeking funding opportunities to support and enhance safety initiatives and methods.</p> <p><b>Progress:</b></p> <ul style="list-style-type: none"> <li>• Since 2010, the PHA, the Providence Police Department, and the National American Family Institute have worked together to graduate 6 classes of the Youth Police Initiative Program and one Youth Leadership Academy.</li> <li>• The PHA's Security Plan was updated in 2013.</li> <li>• The PHA applies yearly for funding from the Department of Elderly Affairs to support security guard salaries (awarded in 2011, 2012, and 2015) and HUD for the Emergency Safety and Security Grant (awarded in 2009 and 2011) to increase security cameras and technology.</li> <li>• Between 2009 and 2014, the Security Operations Department trained public housing residents to operate a resident crime watch in 4 high-rises.</li> <li>• In 2014, to combat recent gang violence, the PHA established a partnership with Institute of Non-Violence in order to mediate community relations specific to violence and gangs within our family developments.</li> </ul> <p>11. Continue to ensure adherence of legal risk management, safety and insurance best practices as well as maintain the PHA Safety Committee to promote policies to make the PHA a safe and healthy place to live and work.</p> <p><b>Progress:</b></p> <ul style="list-style-type: none"> <li>• The PHA's Safety Committee meets quarterly every year since its creation in 2009.</li> <li>• In 2012, the PHA's Fire Safety &amp; Prevention Program won the Best Practices HARRI Award from the Housing Authority Insurance Group. In 2014, the PHA's Safe-T First Program won the Best Practices HARRI Award.</li> <li>• Fire Safety and Prevention Trainings are held in each development annually in partnership with Providence Police Department and State Fire Marshal.</li> <li>• Since 2010, through FEMA funding, the PHA has installed Safe-T Element devices (stove elements that prevent cooking fires) in all elderly and disabled developments, successfully reducing cooking fires by 89%.</li> <li>• Carbon monoxide and smoke detectors were installed in all family developments during 2013.</li> </ul> <p>12. Continue to maintain and improve finance procedures to assure regulatory compliance, refine reporting systems (based on the most recent audit review), advance department cross training and improve the newly acquired payroll system.</p> <p><b>Progress:</b></p> <ul style="list-style-type: none"> <li>• In 2013, the PHA hired a new company to expedite the payroll and benefits process and become paperless with online access.</li> <li>• In FY 2014, the PHA procured a new auditor in order to get a fresh look into the financial processes and systems.</li> <li>• In 2014, the Finance Department hired an Associate Director to assist the Chief Operations Officer.</li> </ul> <p>13. Continue to maintain, reorganize and improve the general infrastructure of the organization and ensure compliance with Section 3 requirements.</p> <p><b>Progress:</b></p> <ul style="list-style-type: none"> <li>• In FY 2014, the PHA coupled the Property Management division (once a part of Asset Management) with the Tenant Selection Department.</li> <li>• Since FY 2010, the PHA continues to maintain an average of 31% (of total hires) Section 3 qualified residents.</li> </ul>
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10.0	<p>14. Continue to ensure (1) that the PHA computer hardware, software and network are updated and upgraded to maximize operational efficiency, (2) a secure digital environment and (3) provide support and technological tools to employees and residents of the PHA.</p> <p><b>Progress:</b></p> <ul style="list-style-type: none"> <li>• Over the past few years the PHA’s network has been partially upgraded to include Virtual Servers. One benefit of having a Virtual Environment is Disaster Recovery, should there be a system failure - recovery time has been greatly reduced.</li> <li>• A new helpdesk system (Manage Engine) has been installed replacing the TrackIT software. This software is much more robust and user friendly by allowing staff to submit troubleshooting requests via e-mail, which is recorded and assigned to an IT staff member to resolve.</li> <li>• In the past five years, computer labs have been set up in each of the developments with the exception of Parenti Villa, hopefully one will be setup by years end.</li> </ul> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p>The PHA will amend or modify its agency plan upon the occurrence of any of the following events during the term of the approved plan: a change in federal law takes effect and, in the opinion of PHA, creates substantial burden resulting in funding reallocations within the CFP and the five year capital plan, unforeseen events requiring major repair or demolition in excess of other funding sources including insurance claims, the addition of budget line items, amending the reallocation of funds within the CFP or any budget amendment to CFP that is greater than 10% of the total grant, additions of non-emergency work items (items not included in the current Annual Statement or Five-year Action Plan) under the Capital Fund, any other event that the PHA deems to be a significant amendment or modification to the approved annual plan or five year capital plan.</p>
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11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>
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**APPROVED FY 2014 CFP 5-YR Action Plan**  
**Capital Fund Program Five-Year Action Plan**

**Capital Fund Program-Five Year Action Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011**

<b>Part I: Summary</b>		<b>Providence, RI</b>		<b>_ Original 5-Year Plan _ Revision No:</b>	
<b>THE HOUSING AUTHORITY OF THE CITY OF PROVIDENCE, RI RI 43 P001 50112</b>		<b>Providence, RI</b>		<b>_ Original 5-Year Plan _ Revision No:</b>	
<b>Development Number and Name</b>	<b>Work Statement for Year 1 FFY 2012</b>	<b>Work Statement for Year 2 FFY 2013</b>	<b>Work Statement for Year 3 FFY 2014</b>	<b>Work Statement for Year 4 FFY 2015</b>	<b>Work Statement for Year 5 FFY 2016</b>
<b>A.</b>	<b>Annual Statement</b>				
<b>B.</b> Physical Improvements Subtotal	1,053,603	1,070,590	1,053,590	1,025,630	
<b>C.</b> Management Improvements	159,044	159,044	159,044	159,044	
PHA-Wide Non-dwelling Structures and Equipment	15,000	0	15,000	41,960	
<b>E.</b> Administration	340,957	340,957	340,957	340,957	
<b>F.</b> Other	10,000	10,000	10,000	10,000	
<b>G.</b> Operations	681,913	681,913	681,913	681,913	
<b>H.</b> Demolition					
<b>I.</b> Development					
Capital Fund Financing - Debt Service	1,149,050	1,147,063	1,149,063	1,150,063	
<b>K.</b> Total CFP Funds					
<b>L.</b> Total Non-CFP Funds					
<b>M.</b> Grand Total	\$ 3,409,567	\$ 3,409,567	\$ 3,409,567	\$ 3,409,567	\$ 3,409,567

Part II: Supporting Pages – Physical Needs Work Statement(s)		Work Statement for Year 2013 FFY 2013		Work Statement for Year 2014 FFY 2014		
Work Statement for Year 1 FFY 2011	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost
See Annual Statement	R1001000001 Chad Brown Operations			R1001000001 Chad Brown Operations		
	Tree Pruning		49,063	Tree Pruning		49,063
	Mold Remediation		3,598	Mold Remediation		3,598
	Mold Testing		5,000	Mold Testing		5,000
	Repair/Replace Roofs		5,000	Repair/Replace Roofs		5,000
	Repair/Replace Gutters and Guards		25,000	Exterior Repair/Paint		14,349
	Re-Caulk/Repaint Windows		10,000	Repair/Replace Gas/Water Lines		13,727
	Upgrade Kitchens		8,000	Re-Caulk/Repaint Windows		10,000
	Appliance Purchases		7,000	Upgrade Kitchens		10,000
	Bond Repayment		5,000	Appliance Purchases		5,000
			51,642	Repair/Replace Roofs		15,000
				Bond Repayment		51,480
	<b>Total</b>		<b>169,303</b>	<b>Total</b>		<b>182,217</b>
			<b>Subtotal of Estimated Cost</b>			<b>Subtotal of Estimated Cost</b>
			\$169,303			\$182,217







Capital Fund Program-Five Year Action Plan

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Part II: Supporting Pages – Physical Needs Work Statement(s)		Work Statement for Year 2013 FFY 2013		Work Statement for Year 2014 FFY 2014		
Work Statement for Year 1 FFY 2011	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost
See	Scattered Sites			Scattered Sites		
Annual Statement	Operations		52,857	Operations		52,857
	Tree Pruning		3,880	Tree Pruning		3,880
	Building Repairs (All Projects)		150,000	Building Repairs (All Projects)		150,000
	Paint Buildings, Rebuild Porches			Paint Buildings, Rebuild Porches		
	Replace DHW Tanks, Boilers			Replace DHW Tanks, Boilers		
	Repair/Seal Foundation Cracks			Repair/Seal Foundation Cracks		
	Carb Monoxide/Smoke Detectors			Carb Monoxide/Smoke Detectors		
	Replace Windows, Deferred Painting			Replace Windows, Deferred Painting		
	Install Vinyl Siding, Vinyl Floor Tile			Install Vinyl Siding, Vinyl Floor Tile		
	Bond Repayment		55,635	Bond Repayment		55,461
	<b>Total</b>		<b>262,372</b>	<b>Total</b>		<b>262,198</b>
	<b>R1001000002 Total</b>		<b>440,019</b>	<b>R1001000002 Total</b>		<b>499,392</b>
	<b>R1001000003 Hartford Park</b>			<b>R1001000003 Hartford Park</b>		
	Operations		132,929	Operations		132,929
	A & E Fees and Costs		10,000	A & E Fees and Costs		10,000
	Walkway Repairs		75,000	Tree Pruning		9,745
	Mold Remediation		10,000	LBP/Asbestos Testing		5,000
	Mold Testing		5,000	Utility Survey		1,000
	Tree Pruning		9,745	Walkway repairs		50,000
	<b>Subtotal of Estimated Cost</b>		<b>262,372</b>	<b>Subtotal of Estimated Cost</b>		<b>262,198</b>







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Part II: Supporting Pages - Physical Needs Work Statement(s)		Work Statement for Year 2013 FFY 2013			Work Statement for Year 2014 FFY 2014		
Work Statement for Year 1 FFY 2011	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost	
See	R1001000008 Kilmartin Plaza			R1001000008 Kilmartin Plaza			
Annual Statement	Operations		27,737	Operations		27,737	
	Tree Pruning		2,034	Interior repairs Community Room		20,000	
	Trash Chute Cleaning/Repairs		450	Tree Pruning		2,034	
	Replace Floor Tiles		5,000	Trash Chute Cleaning/Repairs		450	
	Asbestos Abatement		5,000	Replace Floor Tiles		5,000	
	Bond Repayment		29,195	Asbestos Abatement		5,000	
				Bond Repayment		29,103	
	<b>R1001000008 Total</b>		<b>69,416</b>	<b>R1001000008 Total</b>		<b>89,324</b>	
	R1001000009 Parenti Villa			R1001000009 Parenti Villa			
	Operations		50,764	Operations		50,764	
	Tree Pruning		3,722	Tree Pruning		3,722	
	Hallway Paint/Repairs		10,000	Upgrade Fire Doors		15,000	
	Asbestos Abatement		5,000	Asbestos Abatement		5,000	
	Replace Floor Tiles		5,000	Replace Floor Tiles		5,000	
	Repair/replace locks		8,000	Trash Chute Cleaning/Repairs		1,150	
	Trash Chute Cleaning/Repairs		1,150	Bond Repayment		53,264	
	Bond Repayment		53,432				
	<b>R1001000009 Total</b>		<b>137,068</b>	<b>R1001000009 Total</b>		<b>133,900</b>	
	CFP Administrative Costs		340,957	CFP Administrative Costs		340,957	
	<b>Subtotal of Estimated Cost</b>		<b>\$3,250,523</b>	<b>Subtotal of Estimated Cost</b>		<b>\$3,250,523</b>	





Part II: Supporting Pages – Physical Needs Work Statement(s)		Work Statement for Year 2015 FFY 2015			Work Statement for Year 2016 FFY 2016		
Work Statement for Year 1 FFY 2011	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	
See	Admiral Terrace			Admiral Terrace			
	Operations		49,063	Operations		49,063	
Annual Statement	Repair/Replace Roofs		10,000	Repair/Replace Roofs		10,000	
	Tree Pruning		3,609	Tree Pruning		4,000	
	Upgrade Kitchens		10,000	Repair Gutters/add Guards		10,000	
	Re-Caulk/Repaint Windows		5,000	Upgrade Kitchens		10,000	
	Mold Remediation		5,000	Re-Caulk/Repaint Windows		5,000	
	Mold Remediation		5,000	Mold Remediation		5,000	
	Appliance Purchase		4,500	Mold Remediation		5,000	
	Repaint/Seal Exterior		10,630	Appliance Purchase		5,000	
	Bond Repayment		51,624	Repaint/Seal Exterior		10,000	
	Bond Repayment			Bond Repayment		51,695	
	<b>Total</b>		<b>154,426</b>	<b>Total</b>		<b>164,758</b>	
	Sunset Village			Sunset Village			
	Repaint Exterior Doors/Trim		16,417	Repaint Exterior Doors/Trim		10,000	
	<b>Total</b>		<b>16,417</b>	<b>Total</b>		<b>10,000</b>	
	<b>R1001000001 Total</b>		<b>368,726</b>	<b>R1001000001 Total</b>		<b>363,516</b>	
	<b>Subtotal of Estimated Cost</b>		<b>170,843</b>	<b>Subtotal of Estimated Cost</b>		<b>174,758</b>	

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Part II: Supporting Pages – Physical Needs Work Statement(s)		Work Statement for Year 2015 FFY 2015		Work Statement for Year 2016 FFY 2016		
Work Statement for Year 1 FFY 2011	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost
See	R1001000002 Roger Williams			R1001000002 Roger Williams		
Annual Statement	Repair/Replace Windows		10,000	Repair/Replace Windows		10,000
	Roof Repair		10,000	Roof Repair		10,000
	<b>Total</b>		<b>20,000</b>	<b>Total</b>		<b>20,000</b>
	<b>Codding Court</b>			<b>Codding Court</b>		
	Operations		52,857	Operations		52,857
	Tree Pruning		3,876	Tree Pruning		4,000
	Mold Remediation		5,000	Mold Remediation		5,000
	Mold Testing		5,000	Mold Testing		5,000
	Replace Domestic Water Heaters		5,000	Replace Domestic Water Heaters		10,000
	Repair/Replace Roofs		86,960	Repair/Replace Roofs		35,100
	Bond Repayment		55,616	Bond Repayment		55,694
	<b>Total</b>		<b>214,309</b>	<b>Total</b>		<b>167,651</b>
	<b>Subtotal of Estimated Cost</b>		<b>234,309</b>	<b>Subtotal of Estimated Cost</b>		<b>187,651</b>

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Part II: Supporting Pages – Physical Needs Work Statement(s)		Work Statement for Year 2015 FFY 2015			Work Statement for Year 2016 FFY 2016		
Work Statement for Year 1 FFY 2011	See	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost
		Scattered Sites			Scattered Sites		
	Annual Statement	Operations		52,857	Operations		52,857
		Tree Pruning		3,880	Tree Pruning		4,000
		Building Repairs (All Projects)		146,075	Building Repairs (All Projects)		100,000
		Paint Buildings, Rebuild Porches			Paint Buildings, Rebuild Porches		
		Replace DHW Tanks, Boilers			Replace DHW Tanks, Boilers		
		Repair/Seal Foundation Cracks			Repair/Seal Foundation Cracks		
		Carb Monoxide/Smoke Detectors			Carb Monoxide/Smoke Detectors		
		Replace Windows, Deferred Painting			Replace Windows, Deferred Painting		
		Install Vinyl Siding, Vinyl Floor Tile			Install Vinyl Siding, Vinyl Floor Tile		
		Bond Repayment		55,616	Bond Repayment		55,693
		<b>Total</b>		<b>258,428</b>	<b>Total</b>		<b>212,550</b>
		R1001000002 Total		492,737	R1001000002 Total		400,201
		R1001000003 Hartford Park			R1001000003 Hartford Park		
		Operations		132,929	Operations		132,929
		A&E Fees and Costs		10,000	A&E Fees and Costs		10,000
		Utility Survey		1,000	Utility Survey		1,000
		LBP/Asbestos Testing		5,000	LBP/Asbestos Testing		5,000
		Tree Pruning		9,745	Tree Pruning		9,745
		<b>Total</b>		<b>258,428</b>	<b>Total</b>		<b>212,550</b>



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Part II: Supporting Pages – Physical Needs Work Statement(s)		Work Statement for Year 2015 FFY 2015			Work Statement for Year 2016 FFY 2016		
Work Statement for Year 1 FFY 2011	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost	
See	Manton Heights (continued)			Manton Heights (continued)			
Annual Statement	Repair/Replace Roof on Brick Bldgs		75,000	Repair/Replace Roof on Brick Bldgs		150,000	
	Repair/Replace Doors and Hardware		25,000	Repair/Replace Doors and Hardware		25,000	
	Bond Repayment		90,858	Bond Repayment		90,984	
	<b>R1001000004 Total</b>		<b>381,042</b>	<b>R1001000004 Total</b>		<b>456,168</b>	
	<b>R1001000005 Dexter Manor</b>			<b>R1001000005 Dexter Manor</b>			
	Operations		76,146	Operations		76,146	
	Tree Pruning		4,000	Tree Pruning		4,000	
	Upgrade Windows		12,000	Upgrade Windows		10,000	
	Trash Chute Cleaning		650	Trash Chute Cleaning		650	
	Bond Repayment		80,120	Maintenance Vehicles/Equipment		16,960	
				Bond Repayment		80,232	
	<b>R1001000005 Total</b>		<b>172,916</b>	<b>R1001000005 Total</b>		<b>187,988</b>	
	<b>Subtotal of Estimated Cost</b>		<b>553,958</b>	<b>Subtotal of Estimated Cost</b>		<b>644,156</b>	

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U.S. Department of Housing and Urban Development  
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Part II: Supporting Pages – Physical Needs Work Statement(s)		Work Statement for Year 2015 FFY 2015			Work Statement for Year 2016 FFY 2016		
Work Statement for Year 1 FFY 2011	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name General Description of Major Work Categories	Quantity	Estimated Cost	
See	R1001000006 Dominica Manor			R1001000006 Dominica Manor			
Annual Statement	Operations		53,381	Operations		53,381	
	Tree Pruning		3,914	Tree Pruning		3,914	
	Trash Chute Cleaning		1,150	Trash Chute Cleaning		1,150	
	Replace Shower/Tub Mixing Valve		10,000	Replace Shower/Tub Mixing Valve		10,000	
	Asbestos Abatement		20,000	Asbestos Abatement		15,000	
	Replace Floor Tiles		20,000	Replace Floor Tiles		15,000	
	Bond Repayment		56,166	Bond Repayment		56,245	
	<b>R1001000006 Total</b>		<b>164,611</b>	<b>R1001000006 Total</b>		<b>154,690</b>	
	R1001000007 Carroll Tower			R1001000007 Carroll Tower			
	Operations		50,764	Operations		50,764	
	Upgrade/Paint Hallway		15,000	Upgrade/Paint Hallway		10,000	
	Asbestos Abatement		10,000	Asbestos Abatement		10,000	
	Replace Floor Tiles		10,000	Replace Floor Tiles		10,000	
	Trash Chute Cleaning		1,150	Trash Chute Cleaning		1,150	
	Upgrade Kitchens		20,000	Upgrade Kitchens		10,000	
	Bond Repayment CFFP		53,413	Replace drain pipes		25,000	
				Bond Repayment CFFP		53,488	
	<b>R1001000007 Total</b>		<b>160,327</b>	<b>R1001000007 Total</b>		<b>170,402</b>	
	<b>Subtotal of Estimated Cost</b>		<b>324,938</b>	<b>Subtotal of Estimated Cost</b>		<b>325,092</b>	

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Part II: Supporting Pages – Physical Needs Work Statement(s)		Work Statement for Year 2015 FFY 2015			Work Statement for Year 2016 FFY 2016		
Work Statement for Year 1 FFY 2011	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost	Development Number/Name Description of Major Work Categories	Quantity	Estimated Cost	
See Annual Statement	R1001000008 Kilmartin Plaza Operations		27,737	R1001000008 Kilmartin Plaza Operations		27,737	
	Repair/Replace Windows		25,000	Repair/Replace Windows		15,000	
	Tree Pruning		2,034	Tree Pruning		2,034	
	Trash Chute Cleaning		450	Trash Chute Cleaning		450	
	Replace Floor Tiles		10,000	Replace Floor Tiles		10,000	
	Asbestos Abatement		10,000	Asbestos Abatement		10,000	
	Bond Repayment		29,184	Bond Repayment		29,225	
	<b>R1001000008 Total</b>		<b>104,405</b>	<b>R1001000008 Total</b>		<b>94,446</b>	
	R1001000009 Parenti Villa			R1001000009 Parenti Villa			
	Operations		50,764	Operations		50,764	
	Tree Pruning		3,722	Tree Pruning		3,722	
	Upgrade Entrance Lobby		10,000	Asbestos Abatement		10,000	
	Asbestos Abatement		10,000	Replace Floor Tiles		10,000	
	Replace Floor Tiles		10,000	Trash Chute Cleaning		1,150	
	Trash Chute Cleaning		1,150	Elevator Improvements/Repairs		10,000	
	Elevator Improvements/Repairs		10,000	Bond Repayment		53,488	
	Bond Repayment		53,413				
	<b>R1001000009 Total</b>		<b>149,049</b>	<b>R1001000009 Total</b>		<b>139,124</b>	
	CFP Administrative Costs		340,957	CFP Administrative Costs		340,957	
	<b>Subtotal of Estimated Cost</b>		<b>3,250,523</b>	<b>Subtotal of Estimated Cost</b>		<b>3,250,523</b>	

Capital Fund Program-Five Year Action Plan

U.S. Department of Housing and Urban Development  
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Expires 4/30/2011

Part III: Supporting Pages – Management Needs Work Statement(s)		Work Statement for Year 2013 FFY 2013		Work Statement for Year: 2014 FFY 2014	
Work Statement for Year 1 FFY 2012	Development Number/Name General Description of Major Work Categories	Estimated Cost	Development Number/Name General Description of Major Work Categories	Estimated Cost	Estimated Cost
See	R1001000001 Chad Brown		R1001000001 Chad Brown		
Annual Statement	Police	9,353	Police	9,353	9,353
	Admiral Terrace		Admiral Terrace		
	Police	9,353	Police	9,353	9,353
	R1001000002 Codding Court		R1001000002 Codding Court		
	Police	10,077	Police	10,077	10,077
	Scattered Sites		Scattered Sites		
	Police	10,077	Police	10,077	10,077
	R1001000003 Hartford Park		R1001000003 Hartford Park		
	Police	25,341	Police	25,341	25,341
	R1001000004 Manton Heights		R1001000004 Manton Heights		
	Police	16,462	Police	16,462	16,462
	R1001000005 Dexter Manor		R1001000005 Dexter Manor		
	Computer System Software	25,000	Computer System Software	25,000	25,000
	Police	14,516	Police	14,516	14,516
	Security Guards	10,000	Security Guards	10,000	10,000
	R1001000006 Dominica Manor		R1001000006 Dominica Manor		
	Police	10,176	Police	10,176	10,176
	R1001000007 Carroll Tower		R1001000007 Carroll Tower		
	Police	3,722	Police	3,722	3,722
	R1001000008 Kilmarin Plaza		R1001000008 Kilmarin Plaza		
	Police	5,288	Police	5,288	5,288
	R1001000009 Parenti Villa		R1001000009 Parenti Villa		
	Police	9,679	Police	9,679	9,679
	<b>Subtotal of Estimated Cost</b>	<b>159,044</b>	<b>Subtotal of Estimated Cost</b>	<b>159,044</b>	<b>159,044</b>



Capital Fund Program-Five Year Action Plan

U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011

Part III: Supporting Pages -- Management Needs Work Statement(s)		Work Statement for Year: 2016 FFY 2016		
Work Statement for Year 1 FFY 2012	Development Number/Name Description of Major Work Categories	Estimated Cost	Development Number/Name Description of Major Work Categories	Estimated Cost
See	R1001000001 Chad Brown		R1001000001 Chad Brown	
Annual Statement	Police	9,353	Police	9,353
	Admiral Terrace		Admiral Terrace	
	Police	9,353	Police	9,353
	R1001000002 Coddling Court		R1001000002 Coddling Court	
	Police	10,077	Police	10,077
	Scattered Sites		Scattered Sites	
	Police	10,077	Police	10,077
	R1001000003 Hartford Park		R1001000003 Hartford Park	
	Police	25,341	Police	25,341
	R1001000004 Manton Heights		R1001000004 Manton Heights	
	Police	16,462	Police	16,462
	R1001000005 Dexter Manor		R1001000005 Dexter Manor	
	Computer System Software	25,000	Computer System Software	25,000
	Police	14,516	Police	14,516
	Security Guards	10,000	Security Guards	10,000
	R1001000006 Dominica Manor		R1001000006 Dominica Manor	
	Police	10,176	Police	10,176
	R1001000007 Carroll Tower		R1001000007 Carroll Tower	
	Police	3,722	Police	3,722
	R1001000008 Kilmartin Plaza		R1001000008 Kilmartin Plaza	
	Police	5,288	Police	5,288
	R1001000009 Parenti Villa		R1001000009 Parenti Villa	
	Police	9,679	Police	9,679
	<b>Subtotal of Estimated Cost</b>	<b>159,044</b>	<b>Subtotal of Estimated Cost</b>	<b>159,044</b>

## **Section 11.0 (f) Resident Advisory Board (RAB) Comments and PHA Analysis**

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After participating in three meetings on the Providence Housing Authority's (PHA) Five Year and Annual Plan that included presentations on each department and/or office and having significant amount of time to review all supporting documentation of the Five Year and Annual Plan template, RAB members stated the following comments for inclusion regarding Security, Boarders and Lodgers and Parking. The RAB comments and the PHA's responses are listed below:

**SAFETY & SECURITY:** The Providence Police Department's Public Housing Unit (PHU) and the PHA's security force make up the Police & Security Services Unit which shares the mission to provide a secure and safe environment to PHA communities. The 6 PHU officers provide security services to all of the PHA's developments and 244 units of Scattered Site housing locations while the 14 trained security guards monitor 6 elderly/disabled high-rises. The PHA is located in the City of Providence, the largest urban city in Rhode Island which accounts for major proportions of statewide crime weighing heavily on the PHA in its attempt to create and maintain authority wide safety. It is well known and documented that property destruction, gangs, violence and drugs are perceived as major problems that cause PHA residents to fear for their health and safety in both the elderly and disabled and family developments.

### **RAB COMMENT:**

RAB members are concerned with the rising violent crime, drug dealing, prostitution and robberies that take place in the developments. Residents who live at the PHA, in the elderly, disabled and family developments are afraid to report crimes, talk to the police and/or identify the criminals. The older and disabled residents who live in the high-rises are afraid to leave their apartments and refuse to answer their door if someone knocks late at night. They do not use the stairwells due to fear of being robbed and some say they do not invite family to their homes due to lack of safety. In the family sites, the public housing police do their best, however the gang activity, shooting and drug dealing makes the PHA residents feeling unsafe to let their kids play outside.

### **PHA RESPONSE TO RAB COMMENT:**

The PHA is dedicated to maintaining a high level of security by the utilization of the sworn police officer patrols, PHA security personnel, community policing and prevention strategies, partnering with outside crime organizations, resident engagement and the use of security cameras and video surveillance. The PHA strongly believes that the combination or accumulative effect of all of these elements is necessary to provide safe living conditions to our communities of public housing residents.

Like many housing authorities nationwide, the PHA is faced with limited law enforcement and security safety interventions known to reduce and/or prevent crime in order to improve the health, safety and well-being of the 11 communities that it serves. Although the PHA has been nationally recognized for the Youth Safe Haven, Youth Police Initiative and the Youth Leadership Academy, which are pro-active, crime prevention programs with at-risk youth, sustaining funding for these programs continues to be challenging. The PHA continually tracks and measures the crime data and trends in its City and public housing developments in order to evaluate and assess appropriate system changes. Unfortunately, budget restraints at the organizational level have hindered the PHA's ability to install updated and sufficient monitoring workstation capabilities and much needed cameras at the PHA's multiple high-rise and family development sites.

As a result, the PHA annually applies for funding from the RI Department of Elderly Affairs to support security guard salaries and HUD's Emergency Safety and Security Grant to increase security cameras and technology. In order to support the FY 2015 HUD Emergency Safety and Security grant, which requested funding to install additional cameras in all developments, RAB members were asked to submit their thoughts and comments about safety and security. Their documented concerns were asked to be included with this year's Five Year and Annual Plan as their comments are of great importance.

**BOARDERS & LODGERS:** Section J (1) Tenants Right to Use & Occupancy (Guests & Visitors): The PHA lease states "written permission of Management will be required for all overnight guests or visitors who are staying five (5) days or over."

**RAB COMMENT:**

The RAB members have stated that the language of the lease allows for visitors to stay with a PHA resident for five days, leave for one day and then return again to stay for another five days without consequences. This allows for illegal boarding and lodging throughout the developments. Traditionally, boarders and lodgers are the cause of violence, drug activity and other lease violations. RAB members would like to remind head of households of the policy and that the head of households are ultimately responsible for the actions of their visitors.

**PHA RESPONSE TO RAB COMMENT:**

The RAB brings to light an important issue for residents and staff. PHA staff will be more vigilant for boarders and lodgers and will remind the head of households that they are responsible for visitors as is referenced in the Lease House Rules. RAB members were asked to also understand that boarders and lodgers are difficult to monitor and in most cases are the cause of violence, drug activity and other issues. PHA staff will also be more vigilant of boarders and lodgers and will take the appropriate actions.

**PARKING:** The Providence Housing Authority provides parking spaces for authorized residents who have received a PHA parking permit sticker. In many of the developments, parking is on a first-come, first-serve basis. The PHA does not assume any responsibility for any vehicle (including resident vehicles) parked on PHA property. Any vehicles parked improperly, unregistered or inoperable will be towed away at the owner's expense. Many parking lots have security cameras which are monitored on a regular basis for your safety. In FY 2014, The PHA developed an electronic application system, or "app", to track vehicles for all residents and employees. This application utilizes both cell phone and web based technologies. This program generates a parking sticker for each approved tenant vehicle. The tenants' data, upon a scan, will to display the owners' information and status for this vehicle. This system allows security personnel to monitor and remove unregistered vehicles, therefore keeping "outsiders" off PHA properties.

**RAB COMMENT:** RAB members are concerned that parking is very limited at all developments and many have noticed unregistered vehicles on the property. Visitors do not register their vehicles with the management office and many vehicles do not have the required PHA parking sticker affixed to the windshield. RAB members would like for visitors to park off the premises in order to allow residents access to the parking lots.

**PHA RESPONSE TO RAB COMMENT:** The PHA has implemented a new parking system; however the agency is currently taking the proper steps to further improve this system. The PHA is seeking to work with the Security Operations Department in order to have a 24-hour parking enforcement system. The new system will require a contract with a towing company to be available at all times. Also, all resident vehicles will be re-registered with the management offices and the policy for visitors stickers will be re-evaluated as well.


## Certifications

**Certification by State or Local  
Official of PHA Plans Consistency  
with the Consolidated Plan**

**U.S. Department of Housing and Urban Development  
Office of Public and Indian Housing  
Expires 4/30/2011**

**Certification by State or Local Official of PHA Plans Consistency with the  
Consolidated Plan**

I, Brian Hull the Director of the Division of Community Development certify that the Five Year and  
Annual PHA Plan of the Providence Housing Authority is consistent with the Consolidated Plan of  
City of Providence prepared pursuant to 24 CFR Part 91.

 4/24/2015

Signed / Dated by Appropriate State or Local Official

**Certification of Payments  
to Influence Federal Transactions**

**U.S. Department of Housing  
and Urban Development**  
Office of Public and Indian Housing

Applicant Name

Providence Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund Program (CFP)

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties.  
(18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Paul J. Tavares

Title

Executive Director

Signature

Date (mm/dd/yyyy)

04/23/2015

# Certification for a Drug-Free Workplace

U.S. Department of Housing and Urban Development

Applicant Name

Providence Housing Authority

Program/Activity Receiving Federal Grant Funding

Capital Fund Program (CFP)

Acting on behalf of the above named Applicant as its Authorized Official, I make the following certifications and agreements to the Department of Housing and Urban Development (HUD) regarding the sites listed below:

I certify that the above named Applicant will or will continue to provide a drug-free workplace by:

a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employees for violation of such prohibition.

b. Establishing an on-going drug-free awareness program to inform employees ---

- (1) The dangers of drug abuse in the workplace;
- (2) The Applicant's policy of maintaining a drug-free workplace;
- (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
- (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph a.;

d. Notifying the employee in the statement required by paragraph a. that, as a condition of employment under the grant, the employee will ---

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- e. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph d.(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- f. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph d.(2), with respect to any employee who is so convicted ---

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs a. thru f.

2. **Sites for Work Performance.** The Applicant shall list (on separate pages) the site(s) for the performance of work done in connection with the HUD funding of the program/activity shown above: Place of Performance shall include the street address, city, county, State, and zip code. Identify each sheet with the Applicant name and address and the program/activity receiving grant funding )

Check here  if there are workplaces on file that are not identified on the attached sheets.

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

Name of Authorized Official

Paul J. Tavares

Title

Executive Director

Signature

Date

X



04/23/2015


## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input checked="" type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  Congressional District, if known: 4c	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  Congressional District, if known:	
<b>6. Federal Department/Agency:</b>	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$	
<b>10. a. Name and Address of Lobbying Registrant</b> (if individual, last name, first name, MI):	<b>b. Individuals Performing Services</b> (including address if different from No. 10a) (last name, first name, MI):	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: <u></u> Print Name: <u>Paul J. Tavares</u> Title: <u>Executive Director</u> Telephone No.: <u>401-709-1101</u> Date: <u>04/23/15</u>	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)



**PHA Certifications of Compliance with the PHA Plans and Related Regulations:  
Board Resolution to Accompany the PHA 5-Year and Annual PHA Plan**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioners, I approve the submission of the  5-Year and/or  Annual PHA Plan for the PHA fiscal year beginning 07/15, hereinafter referred to as "the Plan", of which this document is a part and make the following certifications and agreements with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

1. The Plan is consistent with the applicable comprehensive housing affordability strategy (or any plan incorporating such strategy) for the jurisdiction in which the PHA is located.
2. The Plan contains a certification by the appropriate State or local officials that the Plan is consistent with the applicable Consolidated Plan, which includes a certification that requires the preparation of an Analysis of Impediments to Fair Housing Choice, for the PHA's jurisdiction and a description of the manner in which the PHA Plan is consistent with the applicable Consolidated Plan.
3. The PHA certifies that there has been no change, significant or otherwise, to the Capital Fund Program (and Capital Fund Program/Replacement Housing Factor) Annual Statement(s), since submission of its last approved Annual Plan. The Capital Fund Program Annual Statement/Annual Statement/Performance and Evaluation Report must be submitted annually even if there is no change.
4. The PHA has established a Resident Advisory Board or Boards, the membership of which represents the residents assisted by the PHA, consulted with this Board or Boards in developing the Plan, and considered the recommendations of the Board or Boards (24 CFR 903.13). The PHA has included in the Plan submission a copy of the recommendations made by the Resident Advisory Board or Boards and a description of the manner in which the Plan addresses these recommendations.
5. The PHA made the proposed Plan and all information relevant to the public hearing available for public inspection at least 45 days before the hearing, published a notice that a hearing would be held and conducted a hearing to discuss the Plan and invited public comment.
6. The PHA certifies that it will carry out the Plan in conformity with Title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990.
7. The PHA will affirmatively further fair housing by examining their programs or proposed programs, identify any impediments to fair housing choice within those programs, address those impediments in a reasonable fashion in view of the resources available and work with local jurisdictions to implement any of the jurisdiction's initiatives to affirmatively further fair housing that require the PHA's involvement and maintain records reflecting these analyses and actions.
8. For PHA Plan that includes a policy for site based waiting lists:
  - The PHA regularly submits required data to HUD's 50058 PIC/IMS Module in an accurate, complete and timely manner (as specified in PIH Notice 2006-24);
  - The system of site-based waiting lists provides for full disclosure to each applicant in the selection of the development in which to reside, including basic information about available sites; and an estimate of the period of time the applicant would likely have to wait to be admitted to units of different sizes and types at each site;
  - Adoption of site-based waiting list would not violate any court order or settlement agreement or be inconsistent with a pending complaint brought by HUD;
  - The PHA shall take reasonable measures to assure that such waiting list is consistent with affirmatively furthering fair housing;
  - The PHA provides for review of its site-based waiting list policy to determine if it is consistent with civil rights laws and certifications, as specified in 24 CFR part 903.7(c)(1).
9. The PHA will comply with the prohibitions against discrimination on the basis of age pursuant to the Age Discrimination Act of 1975.
10. The PHA will comply with the Architectural Barriers Act of 1968 and 24 CFR Part 41, Policies and Procedures for the Enforcement of Standards and Requirements for Accessibility by the Physically Handicapped.
11. The PHA will comply with the requirements of section 3 of the Housing and Urban Development Act of 1968, Employment Opportunities for Low-or Very-Low Income Persons, and with its implementing regulation at 24 CFR Part 135.

12. The PHA will comply with acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 and implementing regulations at 49 CFR Part 24 as applicable.
13. The PHA will take appropriate affirmative action to award contracts to minority and women's business enterprises under 24 CFR 5.105(a).
14. The PHA will provide the responsible entity or HUD any documentation that the responsible entity or HUD needs to carry out its review under the National Environmental Policy Act and other related authorities in accordance with 24 CFR Part 58 or Part 50, respectively.
15. With respect to public housing the PHA will comply with Davis-Bacon or HUD determined wage rate requirements under Section 12 of the United States Housing Act of 1937 and the Contract Work Hours and Safety Standards Act.
16. The PHA will keep records in accordance with 24 CFR 85.20 and facilitate an effective audit to determine compliance with program requirements.
17. The PHA will comply with the Lead-Based Paint Poisoning Prevention Act, the Residential Lead-Based Paint Hazard Reduction Act of 1992, and 24 CFR Part 35.
18. The PHA will comply with the policies, guidelines, and requirements of OMB Circular No. A-87 (Cost Principles for State, Local and Indian Tribal Governments), 2 CFR Part 225, and 24 CFR Part 85 (Administrative Requirements for Grants and Cooperative Agreements to State, Local and Federally Recognized Indian Tribal Governments).
19. The PHA will undertake only activities and programs covered by the Plan in a manner consistent with its Plan and will utilize covered grant funds only for activities that are approvable under the regulations and included in its Plan.
20. All attachments to the Plan have been and will continue to be available at all times and all locations that the PHA Plan is available for public inspection. All required supporting documents have been made available for public inspection along with the Plan and additional requirements at the primary business office of the PHA and at all other times and locations identified by the PHA in its PHA Plan and will continue to be made available at least at the primary business office of the PHA.
21. The PHA provides assurance as part of this certification that:
  - (i) The Resident Advisory Board had an opportunity to review and comment on the changes to the policies and programs before implementation by the PHA;
  - (ii) The changes were duly approved by the PHA Board of Directors (or similar governing body); and
  - (iii) The revised policies and programs are available for review and inspection, at the principal office of the PHA during normal business hours.
22. The PHA certifies that it is in compliance with all applicable Federal statutory and regulatory requirements.

Providence Housing Authority

RI 001

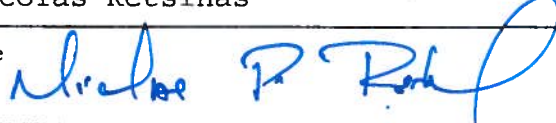
PHA Name

PHA Number/HA Code

X 5-Year PHA Plan for Fiscal Years 20 15 - 20 19

X Annual PHA Plan for Fiscal Years 20 15 - 20 16

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate **Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012, 31 U.S.C. 3729, 3802)

Name of Authorized Official  Nicolas Retsinas	Title  Chairman, Board of Commissioners
Signature 	Date  <del>03/26/2015</del>

23 APR 15

**Civil Rights Certification**

U.S. Department of Housing and Urban Development  
 Office of Public and Indian Housing  
 Expires 4/30/2011

**Civil Rights Certification****Annual Certification and Board Resolution**

*Acting on behalf of the Board of Commissioners of the Public Housing Agency (PHA) listed below, as its Chairman or other authorized PHA official if there is no Board of Commissioner, I approve the submission of the Plan for the PHA of which this document is a part and make the following certification and agreement with the Department of Housing and Urban Development (HUD) in connection with the submission of the Plan and implementation thereof:*

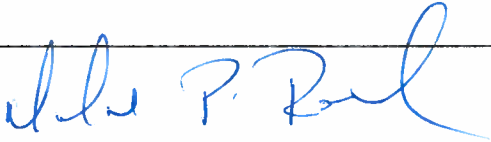
The PHA certifies that it will carry out the public housing program of the agency in conformity with title VI of the Civil Rights Act of 1964, the Fair Housing Act, section 504 of the Rehabilitation Act of 1973, and title II of the Americans with Disabilities Act of 1990, and will affirmatively further fair housing.

Providence Housing Authority

RI 001

PHA Name

PHA Number/HA Code

I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate. Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)			
Name of Authorized Official	Nicolas Retsinas	Title	Chairman, Board of Commissioners
Signature		Date	03/26/2015

23 APR 15